

## Sample form, not for offline completion.

Visit <https://missouritechnology.grantplatform.com> to apply.

# 2025 Investor Education Program Grant

To support resources critical for the success of the state's entrepreneurs, MTC is launching the Investor Education Grant Program aimed at fostering early-stage investment through investor education initiatives. The Investor Education Grant Program seeks to award funds to organizations throughout Missouri to support the implementation of investor education programs.

Name of Applicant Organization

Once you have completed the **Start Here** tab, you may click "Save and Next". You will then be able to navigate to any of the application tabs, input information, and save your progress.

To be eligible for the Investor Education Grant Program, the Applicant Organization must be located within the state of Missouri, registered to do business in Missouri, and in Good Standing with the Missouri Secretary of State. Additionally, the organization must be either a public or private non-profit Missouri research institution, including institutions of higher education, or a non-profit entrepreneurial support or related organization. Furthermore, as required by Missouri statutes, any contract signed between the Missouri Technology Corporation and a not-for-profit organization must stipulate that the not-for-profit organization provides a 100% match for any funding received from the Missouri Technology Corporation through the technology investment fund.

Is the Applicant Organization located within the State of Missouri?

Yes

No

Is the Applicant Organization registered to do business and in Good Standing with the Missouri Secretary of State?

Yes

No

Is the Applicant Organization a public or private **non-profit** Missouri research institution, including institutions of higher education or a **non-profit** entrepreneurial support or related organization?

Yes

No

Does the Applicant Organization have an actual, conditional, or anticipated third-party cost sharing commitment to match the requested MTC Grant?

Yes

No

I have read the eligibility criteria outlined in the Investor Education Grant Proposal guidelines and understand that if the grant application is deemed to be ineligible that the application will not be reviewed even if submitted.

Grant Program guidelines can be found at <https://www.missouritechnology.com/entrepreneurial-ecosystem-support-parent/>

Yes

Does your Grant Proposal File (PDF) include the following items in the following order:

1. Cover Letter
2. Grant Proposal Narrative
3. Investor Education Budget Form
4. Investor Education Summary of Projected Economic Impact Form
5. Affirmation and Signature Page

Yes

No

MTC requires the following supporting documents for your Applicant Organization.

*Please check the each box below to indicate you have the document(s) listed.*

Articles of Incorporation/Organization

Organizational Chart

List of Officers, Directors, and Management Team

List of Advisory Board Members

List of organizations of any corporate type that own in whole or in part the Applicant Organization

List of any organizations of any corporate type that the Applicant Organization owns in whole or in part

Financial Statements for the three most recently completed fiscal years

Most recent balance statement at the time this application is submitted

Most recent profit & loss statement at the time this application is submitted

Pro forma financial projections for the Applicant Organization for at least a three (3) year period.

If including Primary Partners (financial beneficiaries) in your proposal, do you have the required Letters of Commitment from each Primary Partner?

Yes

No

Not applicable

#### **APPLICANT ORGANIZATION INFORMATION**

Name of Applicant Organization (fiscal agent)

Corporate form of the Applicant Organization

501(c)1

501(c)3

501(c)6

State Funded Institute of Higher Education

Other

Describe the Applicant Organization's mission and role in driving economic development.

This summary may be used in marketing efforts, including press releases. (Suggested Text Limit of 100 Words)

Full name of the primary point of contact for the Applicant Organization.

Title of the primary point of contact for Applicant Organization.

Email address for the primary point of contact for Applicant Organization.

Phone number for the primary point of contact for Applicant Organization.

Full name of the secondary point of contact for the Applicant Organization (optional)

Title of the secondary point of contact for Applicant Organization. (optional)

The email address for the secondary point of contact for Applicant Organization. (optional)

The phone number for the secondary point of contact for Applicant Organization. (optional)

Full name of the signing authority for Applicant Organization.

Title of the signing authority for Applicant Organization.

The email address for the signing authority for Applicant Organization.

The phone number for the signing authority for Applicant Organization.

Missouri Tax I.D. Number (MITS) of Applicant Organization

Federal Employer I.D. Number (FEIN) of Applicant Organization

Mailing Address of Applicant Organization

Physical Address (if different than mailing address) (optional)

Missouri County where the Applicant Organization is physically located.

Applicant Organization Size (number of employees)

- 5 or fewer
- 6-10
- 10-20
- over 20

Primary Missouri County Served by Applicant Organization

Additional Missouri Counties Served

Is the Applicant Organization the recipient of an active MTC Grant?

- Yes
- No

Describe the Applicant Organization's relationship with Missouri Technology Corporation, if any. Include the dates and amounts of all grants awarded or capital dispersed to the Applicant Organization within the past five (5) years. (Suggested Text Limit of 150 words)

## PARTNER ORGANIZATION INFORMATION

Does your proposal include any Primary Partners (financial beneficiaries)

- Yes  
 No

Identify any Primary Partners - required if including Primary Partners

Does your proposal include any Affiliated Partners (non-financial beneficiaries)?

- Yes  
 No

Identify any Affiliated Partners (optional)

Please provide a title for your Investor Educator Grant Proposal.

Please select the strategies in the Catalyzing Innovation Report that best align with the Grant Proposal.  
Select all that apply.

- Strategy One: Fund  
 Strategy Two: Grow and Scale  
 Strategy Three: Launch and Cultivate  
 Strategy Four: Inspire  
 Strategy Five: Connect

Length of Grant Period

- One Year - January 1, 2025 to December 31, 2026  
 Two Years - January 1, 2025 to December 31, 2027

Amount of Funding Requested

MTC will not award more than \$250,000 for up to a two-year implementation plan

Please provide a short summary/overview of the proposal, including key objectives.  
This summary may be used in marketing efforts, including press releases.  
Suggested Text Limit of 200 Words

## GRANT PROPOSAL FILE

## Applicant Organization's Grant Proposal File

*Upload as a single PDF file*



Grant Proposal Files should be submitted as a single PDF document.

The documents described in Sections 3.2.1 through 3.2.5 of the Investor Education Grant Proposal Guidelines collectively make up the Grant Proposal File. The documents from Sections 3.2.1 through 3.2.5 should be combined, in the order below, into a single PDF file and named with the following convention: ApplicantOrgName\_GrantProposalFile i.e., MTC\_GrantProposalFile.

1. Cover Letter
2. Grant Proposal Narrative (15-page limit)
3. Investor Education Budget Form
4. Investor Education Summary of Projected Economic Impact Form
5. Affirmation and Signature Page

## GOVERNANCE AND ORGANIZATION

### Applicant Organization's Articles of Incorporation

*Upload as a single PDF file*



Please upload a single PDF file of the Applicant Organization's Articles of Incorporation.

Please follow the naming convention ApplicantOrgName\_documenttitle

For example: MTC\_articlesofincorporation

### Applicant Organization's Organizational Chart

*Upload as a single PDF file*



Please upload a single PDF file of the Applicant Organization's Organizational Chart.

Follow the naming convention ApplicantOrgName\_documenttitle

For example: MTC\_orgchart

### Applicant Organization's Officers, Directors, and Management Team List

*Upload as a single PDF file*



Please attach a list of the Applicant Organization's officers, directors, and management team including full names and their corresponding positions as a single PDF file.

Follow the naming convention ApplicantOrgName\_documenttitle

For example: MTC\_officersdirectorsmanagement

### Applicant Organizations List of Advisory Board Members

*Upload as a single PDF file*



Upload a list of the full names of all the Applicant Organizations Advisory Board(s) members as a single PDF.

Follow the naming convention ApplicantOrgName\_documenttitle

For example: MTC\_advisoryboard

List of organizations of any corporate type that own in whole or in part the Applicant Organization.

*Upload as a single PDF file*



Upload a list of organizations of any corporate type that own in whole or in part the Applicant Organization, as a single PDF.

Follow the naming convention ApplicantOrgName\_documenttitle

For example: MTC\_Organizationsowningapplicant

List of organizations of any corporate type that the Applicant Organization owns in whole or in part.

*Upload as a single PDF file*



Upload a PDF file of the list of organizations of any corporate type that the Applicant Organization owns in whole or in part.

Follow the naming convention ApplicantOrgName\_documenttitle

For example: MTC\_organizationsownedbyapplicant

## **FINANCIAL DOCUMENTS**

Financial Statements for 3 most recently completed fiscal years of the Applicant Organization

*Upload as a single PDF file*



Upload a PDF file of the financial statements for 3 most recently completed fiscal years of the Applicant Organization. If your organization has not completed three fiscal years yet, attach financial statements for all (1 or 2) of the most recently completed fiscal years as a single PDF file.

Follow the naming convention ApplicantOrgName\_documenttitle

For example: MTC\_3yrfinancialstatements

Recent Balance Statement

*Upload as a single PDF file*



Upload the Applicant Organization's most recent balance statement at the time this application is submitted as a PDF file.

Follow the naming convention ApplicantOrgName\_documenttitle

For example: MTC\_balancestatement

Recent Profit and Loss Statement

*Upload as a single PDF file*



Upload the Applicant Organization's most recent profit and loss statement at the time this application is submitted as a PDF file.

Follow the naming convention ApplicantOrgName\_documenttitle

For example: MTC\_profitandloss

Pro Forma Financial Projections for at least a 3 year period

*Upload as a single PDF file*



Upload the Applicant Organization's Pro Forma Financial Projections for at least a 3 year period. Upload as a single PDF file.

Follow the naming convention ApplicantOrgName\_documenttitle

For example: MTC\_proforma

**PRIMARY PARTNER LETTERS OF COMMITMENT** (if applicable)

Primary Partner Letters of Commitment (if applicable) (optional)

*Upload as a single PDF file*



If including Primary Partners, attach letters of commitment from each Primary Partner organization. All letters of commitment should be combined into and attached as a single PDF file.

**ADDITIONAL SUPPORTING DOCUMENTS**

Do you have any additional supporting documents you wish to upload? Please combine supporting documents into a single PDF file, or as few as possible, but no more than three.

Yes

No

Please upload additional supporting documents as a PDF. Please combine supporting documents into a single PDF (optional) file, or as few as possible, but no more than three.

You will only be able to submit one PDF file at a time. After you have uploaded this document, you will be asked if you have additional files to upload.



Have any of the Applicant Organization's officers, directors, or beneficial owners is now or within the last ten (10) years:

1. Been delinquent in the payment of any non-protested taxes or any other amount due to the State of Missouri (or any political subdivision thereof) or federal government.
2. Filed for or publicly announced its intention to file for bankruptcy.
3. Been barred or disqualified from contracting with any state, federal or any local municipality or any political subdivision thereof.
4. Been found to employ an unauthorized alien.
5. Been informed of any investigation respecting personal or business activities or inactions that might give rise to a possible violation of state or federal criminal, securities, or competition laws.
6. Been convicted of or under indictment for any criminal offense other than a misdemeanor.
7. Had a business or professional license suspended, revoked, or surrendered voluntarily.

Yes (you will need to upload a detailed explanation)

No. Select No if the above statements do not apply to any of the Applicant Organization's officers, directors, or beneficial owners.

If yes, you will need to attach a detailed explanation below.



The Applicant has reviewed MTC's Conflicts of Interest Policy (as amended on October 13, 2022) and Current List of Employees and Directors.

Yes

No

MTC's Conflicts of Interest Policy can be found at: <https://www.missouritechnology.com/wp-content/uploads/2022/11/mtc-conflict-of-interest-policy-2022.pdf>

A current list of Employees and Directors can be found at:

Employees: <https://www.missouritechnology.com/about-us/contact-us/>

Directors: <https://www.missouritechnology.com/about-us/board-of-directors/>

Disclose any known or potential conflicts of interest respecting the Applicant Organization and MTC. If none, please write NONE.

By submitting this application, the Applicant Organization certifies and acknowledges that:

1. The Applicant Organization has reviewed the applicable program description and MTC's grant review process, which are available at [www.missouritechnology.com](http://www.missouritechnology.com).
2. The Applicant Organization understands that submitting false or misleading information in connection with this application may result in the Applicant being found ineligible for participation in the program.
3. MTC reserves the right to request any additional information at any time in connection with its evaluation of this application.
4. MTC is subject to the Missouri Open Records Act, and that information the Applicant deems to be confidential and a "closed record" in accordance with that Act shall be clearly marked and stamped.
5. Any funds received under the program will only be used for those activities set forth in the grant proposal.
6. The Applicant Organization is not delinquent with respect to any federal, state, or local taxes or fees.
7. There are no pending or threatened liens, judgments, or material litigation against the Applicant or any person who is engaged in the management of the Applicant that would have a material impact on the viability of the Applicant.
8. None of the Applicant nor any of the Applicant's directors/managers or officers is presently suspended, debarred, proposed for debarment, declared ineligible, voluntarily excluded from participation in this transaction by any Federal department or agency, or presently involved in any bankruptcy.
9. No portion of any funding provided by the MTC may be used for any prohibited purpose outlined in Section 196.1127, RSMo.
10. MTC tracks the performance and economic impact of projects its funds annually for a period of ten (10) years and the Applicant Organization will be contractually required to cooperate with these efforts.
11. MTC-provided funds will be subject to repayment if the business activities created or developed as a result of MTC funding are relocated outside of the State of Missouri at any time.
12. Awards authorized by MTC are contingent upon the Applicant Organization entering into a binding contractual agreement with MTC. The binding contract will require that the MTC be given appropriate oversight of its award.

The Applicant has reviewed and agrees to the certifications stated above.

Yes

No

I certify that I am the authorized representative for the Application Organization who is authorized to make the statement of affirmation contained herein. To the best of my knowledge and belief, I certify that the information contained in this application is complete, true, and correct. If any information submitted on or with this application requires alteration or supplementation, I agree to provide that information in writing to the MTC as soon as practical. I understand the submitting false or misleading information in connection with this application may be punishable by law.

The following will serve as the Applicant's digital signature.

Name

Title

Date