



## Missouri IDEA Fund Application Materials

<b>Name of Applicant Organization</b>		
<b>Permanent Address</b>	<b>Project Address (if different from Permanent Address)</b>	
<b>Signing Authority for Applicant Organization (name, title, address, phone, email)</b>	<b>Primary Contact Person (name, title, address, phone, email)</b>	
<b>North American Industry Classification System (NAICS) Code</b>	<b>Federal Employer Identification Number (FEIN)</b>	<b>Missouri Tax Identification Number (MITS)</b>
<b>Co-Investment Program (please check one)</b> <input type="checkbox"/> Tech Launch Co-Investment <input type="checkbox"/> Seed Capital Co-Investment <input type="checkbox"/> Venture Capital Co-Investment <input type="checkbox"/> High-Tech Industrial Expansion		
<b>Area of Focus (please check one)</b> <input type="checkbox"/> Animal Health <input type="checkbox"/> Plant Science <input type="checkbox"/> Biomedical Science <input type="checkbox"/> Applied Engineering <input type="checkbox"/> Defense and Homeland Security		
<b>Amount of Funds Request</b>	<b>Form of Investment</b> <input type="checkbox"/> Convertible Debt <input type="checkbox"/> Equity <input type="checkbox"/> Traditional Debt <input type="checkbox"/> Other: _____	
<b>Type of Organization</b>  Identify the corporate form of the Applicant Organization. If the Applicant Organization is a Partnership, S Corporation, or other entity, which has a flow-through tax treatment, upload a chart which clearly identifies the current names, permanent address, and proportionate share of ownership of each Beneficiary, Partner, or Shareholder. Aggregate proportionate shares or percent of total ownership may not exceed 100%.  <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> LLC <input type="checkbox"/> Other: _____		
<b>Locations Outside of Missouri Being Considered for the Project</b>		<b>State of Corporate Organization</b>
<b>State of Corporate Headquarters</b>		<b>Year Company Founded</b>

***Business Description***

Describe the current status of the company and its overarching product/service commercialization goals, including the core technology that the company seeks to commercialize in Missouri. For the purpose of this section please do not include any proprietary information.

***Applicant(s) Has Reviewed MTC's Conflict of Interest Policy (as amended on October 25, 2010) and Current List of***

***Employees and Directors (yes or no)***     Yes     No

***Disclose Any Known or Potential Conflicts of Interest Respecting the Applicant Organization and MTC***

***By submitting this application, the Applicant Organization acknowledges:***

1. The undersigned signatory of the Applicant Organization has reviewed the applicable program description and MTC's investment review process, which are available at [www.missouritechnology.com](http://www.missouritechnology.com).
2. MTC reserves the right to request any additional information at any time in connection with its evaluation of this application.
3. MTC is subject to the Missouri Open Records Act and that information the Applicant Organization deems to be confidential and a "closed record" in accordance with that Act shall be clearly marked and stamped. A standard Non-Disclosure Agreement is also available upon request.
4. No portion of any funding provided by the MTC may be used for any prohibited purpose outlined in Section 196.1127, RSMo.
5. MTC tracks the performance and economic impact of projects its funds annually for a period of ten (10) years and the Applicant Organization will be contractually required to cooperate with these efforts.
6. MTC-provided funds will be subject to repayment if the business activities created or developed as a result of MTC funding is relocated outside of the State of Missouri at any time within ten (10) years after such funds are provided. In the case of an equity investment, the repayment will be structured as a put right.
7. Awards authorized by the MTC Board of Directors are subject to the successful execution of a binding contractual agreement between MTC and the recipient and after determination of compliance with the terms and conditions required by the U.S. Department of Treasury. The binding contract will require that the MTC be given appropriate oversight of its investment, which will include, at a minimum, board of director observation rights and submission of quarterly and annual financial statements. MTC may also require that its investment be secured with collateral, which may include intellectual property.
8. Funds cannot be awarded to any of the following:
  - Gambling establishments, retail trade establishments, food and drinking places, public utilities, educational service, religious organizations and public administration;
  - Any company that is delinquent in the payment of any non-protested taxes or any other amounts due the state or federal government or any other political subdivision of Missouri;
  - Any company that has filed for or has publicly announced its intention to file for bankruptcy protection;
  - Real estate investment firms, when the real property will be held for investment purposes as opposed to loans to otherwise eligible small business concerns for the purpose of occupying the real estate being acquired;
  - Firms involved in speculative activities that develop profits from fluctuations in price rather than through the normal course of trade, such as wildcatting for oil and dealing in commodities futures, when not part of the regular activities of the business;
  - Firms involved in lending activities, such as banks, finance companies, factors, leasing companies, insurance companies, and any other firm whose stock in trade is money;
  - Pyramid sales plans, where a participant's primary incentive is based on the sales made by an ever-increasing number of participants;
  - Firms engaged in activities that are prohibited by federal law or applicable law in the jurisdiction where the business is located or conducted. Included in these activities is the production, servicing, or distribution of otherwise legal products that are to be used in connection with an illegal activity, such as selling drug paraphernalia or operating a motel that knowingly permits illegal prostitution;
  - Companies whose ownership or immediate family includes any of the following: a member of the Missouri General Assembly, a member of Congress, a statewide elected official, an employee of the Office of Governor, or any employee of the Missouri Department of Economic Development or Missouri Technology Corporation that is involved in the administration, oversight, or approval of this program.

**Applicant has reviewed Acknowledgements**

Yes    No

I certify that I am the authorized representative identified in this Application who is authorized to make the statement of affirmation contained herein. To the best of my knowledge and belief, I certify that the information contained in this application is complete, true, and correct. If any information submitted on or with this application requires alteration or supplementation, I agree to provide that information in writing to the MTC as soon as practical. I understand the submitting false or misleading information in connection with this application may be punishable by law.

<i>Applicant Signature</i>	<i>Title</i>
<i>Printed Name</i>	<i>Date</i>
<p>On this ____ day of _____, 20____, appeared _____ to me personally known to be the person who executed the above certification, and acknowledged and states on his/her oath to me that he/she executed the same for the purpose therein stated.</p> <p>State of _____</p> <p>County of _____</p> <p>Notary Public Name _____</p> <p>My Commission Expires _____</p> <p>Notary Public Signature _____</p> <p>Seal _____</p>	